

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Lantrip, et al.

Serial No. : 10/602,802

Filed : 6/24/2003

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August 12, 2003

Hon. Commissioner of Patents and Trademarks
* Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and § 1.97(b), applicants wish to call the attention of the Examiner to the references listed below:

LIEBEHERR, ET AL., "The Effect of Index Partitioning Schemes on the Performance of Distributed Query Processing";

BOBBIE, ``Clustering Relations of Large Databases for Parallel Querying'';

OMIECINSKI, ET AL, `Performance Analysis of a Concurrent File Reorganization Algorithm for Record Clustering'

A copy of these references are submitted herewith along with Form PTO-1449.

Consideration of the foregoing is respectfully requested during the initial examination of this application.

Respectfully submitted,

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I hereby certify that this correspondence is being deposited with the United States Postal Services as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450,

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 10 602, 802 Filing Date INFORMATION DISCLOSURE 6/24/2003 STATEMENT BY APPLICANT **First Named Inventor** DAVID B. LANTRIP Art Unit (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number Sheet 1531.0450003.1

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.1				
		LIEBEHERR, ETAL, "The Effect of Index Partitioning Schemes			
		on the Performance of Distributed Query Processing" IEEE			
		Transactions on Knowledge and Data Engineering, Vol. 5, No. 3,			
		June 1993 pages 510-522			
		BOBBIE, " Clustering Relations of large Databases for			
		Parallel Querying " Proceedings of the Twenty-Seventh Annual	<u> </u>		
		Parallel Querying " Proceedings of the Twenty-Seventh Annual Hawaii International Conference on System Sciences, 1994			
	 	OMIECINSKI, ET AL, "Performance Analysis of a Concurrent			
		File Reorganization Algorithm for Record Clustering", IEEE			
		Transactions on Knowledge and Data Engineering, Vol 6, No 2, April 1994			

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional), 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.